

# SUPPLEMENTARY MATERIAL

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## Implementation of a pilot checklist for control standardization on fishery goods consignments at the Livorno border control post

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**Supplementary Table 1. European and Italian regulatory sources selected for the implementation of the experimental checklist. The publication reference has been added to each source in the Regulatory Source column.**

Check objectives	Regulatory source	Description, objectives, scope
General requirements for official controls and on minimum requirements for border control posts designation	Reg. (EU) 2017/625 OJ L 95/1, 7.4.2017	General provisions regarding official control activities of BCPs (Art. 43 to 72)
	L. Decree no. 24, 2.02.2021 IOJ, 55, 05.03.2021, 1-6	Adaptation of national legislation to the provisions of Reg (EU) 2017/625 on official health controls on animals and goods entering the Union and establishment of BCPs of the Ministry of Health
	Impl. Reg (EU) 2019/1014 OJ L 165/10, 21.6.2019	Minimum requirements for the infrastructure, equipment and documentation for BCPs. Designation. Format, categories, abbreviations and other information for the listing of BCPs.
	Del. Reg. (EU) 2019/2122 OJ L 321/45, 12.12.2019	Categories of animals and goods exempted from official controls at BCP.
	Del Reg (EU) 2019/2124 OJ L 321/73, 12.12.2019	Rules for official controls of consignments of animals and goods in transit, transshipment and onward transportation through the Union
	L. Decree no. 27, 2.02.2021 IOJ Gen. 60, 11.03.2021, 1-40	Rules for dispute and counter-examination in relation to documented control procedures.
	L. Decree no. 32; 2.02.2021 IOJ Gen. 62,13.03.2021, 7-65	Arrangements for the financing of official controls and related activities
Specific requirements for documentary check performance	Impl. Reg (EU) 2019/1715 OJ L 261/37, 14.10.2019	Specific rules for data submission to the IMSOC system
	Impl. Reg (EU) 2019/1793 OJ L 277/89, 29.10.2019	Increase of official controls and emergency measures for the entry into the Union of goods from certain third countries
	Impl. Reg (EU) 2019/2128 OJ L 321/114, 12.12.2019	Model official certificate and rules for issuing official certificates for goods leaving the Union and intended for NATO supply
	Impl. Reg (EU) 2020/2235 OJ L 442/1, 30.12.2020	Model official certificates and health/official certificates, for the entry and movements into the Union of consignments of certain categories of animals and goods
	Impl. Reg (EU) 2021/403 OJ L 113/1, 31.3.2021	Model animal health certificates and model animal health/official certificates, for the entry into the Union and movements between Member States of consignments of certain categories of goods
	Impl. Reg (EU) 2021/404 OJ L 114/1, 31.3.2021	Lists of third countries authorized to export of consignments of aquaculture products and other goods intended for human consumption to the Union

	Impl. Reg (EU) 2021/405 OJ L 114/118, 31.3.2021	Lists of third countries authorized to export of consignments of certain animals and goods intended for human consumption to the Union
	Impl. Reg (EU) 2021/632 OJ L 132/24, 19.4.2021	Lists of animals, products of animal origin, germinal products, animal by-products and derived products, composite products, and hay and straw subject to official controls at BCPs
	Impl. Reg (EU) 2019/2130 OJ L 321/128, 12.12.2019	Detailed rules on the operations to be carried out during and after documentary checks (Articles 3 and 5)
Specific requirements for identity and physical check performance	Del. Reg (EU) 2019/2123 OJ L 321/64 12.12.2019	Rules for conducting documentary, identity, and physical checks at control points or at distance from BCPs
	Impl. Reg (EU) 2019/2130 OJ L 321/128, 12.12.2019	Detailed rules on the operations to be carried out during identity and physical checks (Article 4, Annexes)
	Reg. (EC) 1333/2008 OJ L 354/16, 31.12.2008	Food additives
	Reg. (EU) 1169/2011 OJ L 304/18, 22.11.2011	Food labelling General Law
Specific requirements for controls on fishery products	Reg. (EU) 1379/2013 OJ L 354/1, 28.12.2013	Specific rules on the labeling of fishery products and related consumer information. (Art. 35 to 39)
	Impl Reg (EU) 2022/2504 OJ L 325/62, 20.12.2022	Model official certificates for the entry of Fishery products
	Decree of the Ministry of Agricultural and Forestry Politics no. 19105. 22.07.2017 (Annex I) IOJ, Gen. 266,14.11.2017, 11-32	Italian official list of seafood trade names

OJ, Official Journal of the European Union, IOJ, Italian Official Journal.

**Supplementary Table 2. Checklist produced by the Ministry of Health as an annex to Ministerial Guidelines for the Standardization of Official Control Activities at border inspection posts (Ministry of Health, 2016).**

Subject of verification	Regulatory source	Notes with examples for the compilation	Tick for confirmation
First part of the Common Veterinary Entry Document (CVED/VED) correctly completed and matching information with official escort documentation	Reg. EC 136/2004 Reg. EC 282/2004 Reg. EC 669/2009 L. Decree no. 223/2003	none	
NC code – Lot subject to veterinary controls	Dec. 2007/275/EC (mod.) Dir. 97/78/EC (Art. 16)	none	
Requested customs destination	Reg. EC 136/2004 Reg. EC 282/2004 Reg. EC 669/2009	Transit, Transshipment, Reimport; Custom storage specific procedure	
Harmonized import requirements	Dir. 97/78/EC Article 10 Reg. EC 669/2009	None	
Non-harmonized import requirements	Reg. EU 1079/2013 Reg. EC 136/2004 Reg. EC 282/2004	Prior acquisition of information on the requirements applicable in the Member State of destination	
Annual National Control Plans (when provided)	Dec. 2011/163/EU	None	
Third country approval (if required)	Reg. EC 136/2004	None	
Approved establishment	Reg. EC 136/2004	None	
Special import conditions in force -related to the third country -related to the product/animal	Dir. 97/78/EC Article 22 Reg. EC 178/2002 Article 53 Reg. EC 669/2009	None	
EU-Third Country Agreements (where applicable)	NR	Specify country in acronym form (e.g. New Zealand - NZ, Chile - CL, Switzerland - CH, United States - US)	
Procedure for reinforced controls	Dir 97/78/EC Article 22 Reg. EC 178/2002 Article 50	None	
Additional requirements (where applicable)	NR	Transmissible Spongiform Encephalopathies (TSE)	

Specific Third Country certification requirements (where applicable)	NR	None	
Verification of consignment rejection at another BIP	NR	TRACES	
Specific requirements of the Member State of destination (where applicable)	NR	None	
Certificates accompanying the consignment (where applicable) -certificate in original -presentation and content in accordance with the model established for the product/animal and third country -completeness of compilation -compliance with the general principles of certification (Annex IV Dir 2002/99/EC)	NR	Official stamp and signature. Official language(s) of the Member State of destination and in the language(s) of the Member State where the border check is carried out or accompanied by a certified translation. Original version of the certificate accompanying the consignment: (a) a single sheet of paper; or (b) two or more pages that are part of a single and indivisible sheet of paper; (c) a sequence of numbered pages. The certificate must bear a unique identification number which must appear on each page. The certificate must be issued before the consignment leaves the control of the competent authority of the country of dispatch.	
Reduced frequency of physical checks (where applicable) - by product group - By Third Country of Origin	Dec. 94/360/EC Reg. EC 669/2009 Annex. I-II	None	
National monitoring plan (where applicable)	NR	None	
Approval of destination facility (where applicable)	NR	Customs warehouses, ship's stores, consignments channelling	

NR, not reported

**Supplementary Table 3. Internal checklist form adopted by the former border inspection post of Livorno for the standardization of the official control activities on the incoming consignments.**

Documentary check (mandatory for each consignment) -Legislative Decree no. 80/2000 Art.4(3)			
Objective	Objective verification		Notes
	Y	N	
Payment of customs duties			
First part of CVED filled and signed			
Consignment pre-notified before arrival date at BIP			
Third Country and product approved for import in EC			
Approved production plant			
Health certificate model compliant with EC legislation			
Health certificate model compliant with IT legislation			
Health certificate issued according to general certification standards			
Original stamp and signature of Third Country officer			
Certificate in official lists/sites of the exporting country			
Other accompanying documentation			
<b>Official veterinarian's signature</b>			
DECISION ON DOCUMENTARY CONTROL (continuation of the control activities)			
Seal check (Reduced Identity check)			
Complete Identity Check			
Physical check			
Official sampling (1) monitoring (based on national monitoring plans); (2) mandatory (imposed by legislation)			
IDENTITY CHECK (always mandatory) - Legislative Decree no. 80/2000 Art.4(3)			
Objective	Objective verification		Signature
	F	NF	
Seal compliance check			
Complete Identity check			
PHYSICAL CHECK			
Objective	Objective verification		Signature
	F	NF	

Cold chain maintenance			
Verification of transport conditions			
Compliance of Packaging Materials			
Labelling			
Organoleptic properties			
Chemical and physical tests performed on site			
RESULTS OF LABORATORY CHECKS			Signature
F			
NF			
Notes			

F, favorable; NF, not favorable; Y, yes; N, no.

**Supplementary Table 4. Final experimental checklist; amendments to the initial experimental checklist prototype are highlighted in bold.**

Summary of data of the consignment subject to official control				
CHED-P (number)				
Shipper (name and company)				
CONTAINER				
BCP SEAL (number assigned at the end of the control and affixed on the container)				
DOCUMENTARY CHECK				
Objective	Regulatory Source	Obj. verification		Notes
		F	NF	
1. Payment of customs duties	L. Decree no. 32/2021 Article 1,			
2. Verification of prior notification sent before the arrival of the consignment to the PCF	Impl. Reg. EU 2019/1013 Article 1			
3. Indication of the Operator responsible for the consignment (Livorno BCP)	Impl. Reg. EU 2019/1715 Annex II			
4. Compilation of Part I of the CHED	Impl. Reg. EU 2019/2130 Article 2			
4.1. Country of origin				
4.2. Consignor/Exporter				
4.3. Consignee/Importer				
4.4. Destination (registration/approval n., address, Country)				
4.5. Number of approval of the establishment				
4.6. Description of the goods				
4.7. Goods code				
4.8. Container number/seal number				
4.9. Intended use of goods				
4.10. Transport condition				
4.11. Shipper signature				
5. Verification of Third country authorization	Reg EU 2017/625 Article 44 Impl. Reg. EU 2021/405 Annex II			
6. Verification of establishment approval	Reg UE 2017/625 Article 44			
7. Health Certificate Check	Impl. Reg. EU 2020/2235			
7.1. Model Import Certificate				
7.2. Number of certificate				



8. Original stamp and signature of Third Country officer (According to official lists provided by Third country).	Impl. Reg. EU 2020/2235 Article 4			
9. Verification of the authenticity of digital signatures	Impl. Reg. EU 2019/1715 Article 39			
<b>10. Attached certificate - Original -copy</b>	Impl. Reg. EU 2019/2130 Article 2			
11. Departure date	Impl. Reg. EU. 2019/1715 Annex II			
12. Presence of seal: original or other than original for intermediate check (other custom)	Impl. Reg. EU 2019/2130 Article 2			
13. Verification of alerts	Impl. Reg. EU 2019/2130 Article 2			
14. Certificates listed (eg Vietnam, Korea, Brazil)	Impl. Reg. EU 2019/2130 Article 2			
Signature of the Official Veterinarian				
<b>DECISION ON DOCUMENTARY CONTROL AND CONTINUATION OF CONTROL ACTIVITIES</b>				
Type of control activities (Impl Reg EU 2019/2130)	(Y/N)	Notes		
1.Reduced identity check, limited to the seal				
2.Complete identity check				
3.Physical check				
4.Official sampling				
4.1. Monitoring				
4.2. recommended				
4.3. Compulsory				
4.4. sampling plan on contaminants				
4.5. residues sampling plan				
Signature of the Official Veterinarian				
<b>IDENTITY CHECK</b>				
Objective	Regulatory source	Obj. verification		Signature
		F	NF	
1. Check container number match	Impl. Reg. EU 2019/2130 Article 3			

2. Seal number check	Impl. Reg. EU 2019/2130 Article 3			
<b>3. Label compliance</b>	Impl. Reg. EU 2019/2130 Annex II Reg. EU 2011/1169 Reg EU 2013/1379			
<b>3.1. Country of Origin</b>				
<b>3.2. Batch Lot</b>				
<b>3.3. Number of approval of the producing establishment</b>				
<b>3.4. Expiration Date/ Minimum durability</b>				
<b>3.5. Weight</b>				
<b>3.6. Commercial designation and scientific name</b>				
<b>3.7. Fishing/collection area</b>				
<b>3.8. Fishing method</b>				
PHYSICAL CHECK				
Objective	Regulatory source	Obj. verification		Signature
		F	NF	
<b>1. Verification of the conditions of transport and the maintenance of the cold chain</b>	Impl. Reg. EU 2019/2130, Annex II			
2. Assessment of organoleptic parameters	Impl. Reg. EU 2019/2130, Annex. II (3.a)			
2.1. Odour/Scent				
2.2. Colour				
2.3. Texture				
2.4. Flavour				
OFFICIAL SAMPLING			Signature	
Number of sampling report:				
F				
NF				
Observations				
Additional Notes				

F, favorable; NF, not favorable; Y, yes; N, no. In bold the verification objectives that have been amended after the field control trial.